

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application of: Melvin Park, et al.

Serial No.: 09/432,984

Group Art Unit: 2878

Filed: November 2, 1999

Examiner: NOT ASSIGNED

Attorney Docket No.: 140-032

For: MULTIDEFLECTOR

Honorable Commissioner of Patents and Trademarks

Washington, D.C. 20231

TRANSMITTAL

S I R:

Submitted herewith is:

1. Notice to File Missing Parts;
2. Reissue Supplement to Notice to File Missing Parts;
3. Reissue Application Declaration by the Inventors (2 pages);
4. Reissue Application by the Inventor Offer to Surrender Patent (2 sheets); and
5. Petition for 1 month extension of time.

FILE NO.	DEPOSIT	DATE NO.
23	0420	
FILE NO.	VALUE	FINISHED
101	760	
102	150	
103	630	
115	110	

Please charge \$1586 (to cover the reissue filing fee (\$690), the missing parts surcharge (\$130), the fee for the extension of time (\$110), and the fee for excess claims (\$786) (i.e. 35 total claims at \$18/claim (57 total claims less 22 previously paid for) plus 2 independent claims at \$78/claim (5 independent claims less 3 previously paid for)), and any fee deficiency to Ward & Olivo Deposit Account No. 23-0420. A copy of this sheet is enclosed.

Respectfully submitted,

Date: March 10, 2000

David M. Hill

Reg. No. P-46,170

WARD & OLIVO

708 Third Avenue

New York, NY 10017

(212) 697-6262

CERTIFICATE UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date indicated below.

David M. Hill

(Name)

(Signature)

P-46,170

(Reg. No.)

3/10/00

(Date)



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



Application Number:

Application to Paper Number:

09/432 984

11/02/99

PARK

M

140-032

0242/1209

NOT ASSIGNED

WARD & OLIVO
708 THIRD AVENUE
NEW YORK NY 10017

**REISSUE SUPPLEMENT TO NOTICE TO FILE MISSING
PARTS OF APPLICATION**

2078

12/09/99

This Reissue Supplement is an attachment to:

- ☒ "Notice to File Missing Parts of Application" Filing Date Granted (PTO-1533)
☐ "Notice to File Missing Parts of Application" No Filing Date Granted (PTO-1532)

The item(s) indicated below as missing must be filed within the period for reply to the attached Notice to File Missing Parts of Application to avoid abandonment.

The following items are required to complete the reissue application:

- ☐ Consent of the assignee is missing. 37 CFR 1.172 requires that the reissue oath/declaration be accompanied by the written consent of all assignees.
- ☒ Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

NOT Signed

Customer Service Center
Initial Patent Examination Division (703) 308-1202



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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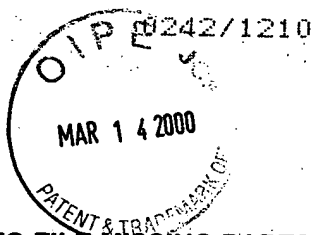
09/432,984

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M

140-032

WARD & OLIVO
708 THIRD AVENUE
NEW YORK NY 10017



NOT ASSIGNED

DATE MAILED: 2878

12/10/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-small entity is \$ 1676

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760.- to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 630.- for 35 total claims over 20.

\$ 156 for 2 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to: "Attention: Box Missing Parts."

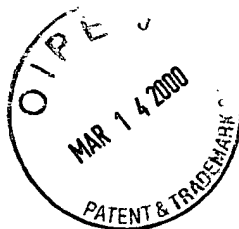
A copy of this notice MUST be returned with the reply.

ATTACHMENT TO PTO 1533

Notification of Insufficient Funds

This is notify you that Deposit Account # 230420
on 3/29/00 had insufficient funds available to charge
the required fee.

Current Balance: 7/5⁰⁰



PTO/SB/53 (12-97)
Approved for use through 9/30/00. OMB 0651-0033
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REISSUE APPLICATION BY THE INVENTOR,
OFFER TO SURRENDER PATENT

Docket Number (Optional)

140-032

This is part of the application for a reissue patent based on the original patent identified below.

Name of Patentee(s)

Melvin Park; Claus Koster

Patent Number

5,696,375

Date Patent Issued

December 9, 1997

Title of Invention

MULTIDEFLECTOR

I am the inventor of the original patent.

I offer to surrender the original patent.

- ☒ Filed herein is a certificate under 37 CFR 3.73(b).
- ☐ Ownership of the patent is in the inventor(s), and no assignment of the patent has been made.

One of boxes 1 or 2 above must be checked.

The written consent of all assignees owning an undivided interest in the original patent is included in this application for reissue.

Signature

Melvin G. Park

Date

1/12/06

Typed or printed name

Melvin Park

The assignee owning an undivided interest in said original patent is Bruker Daltonics, Inc. and the assignee consents to the accompanying application for reissue.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.

Name of assignee

Bruker Daltonics, Inc. (Formerly Bruker Anyl. Instrument)

Signature of person signing for assignee

Frank Laukien

Date

Jan. 11, 2000

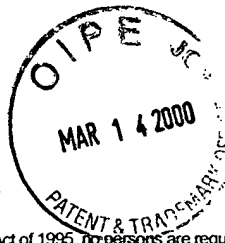
Typed or printed name and title of person signing for assignee

Frank Laukien; President

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

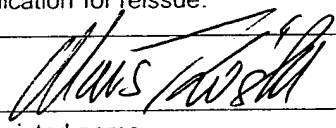
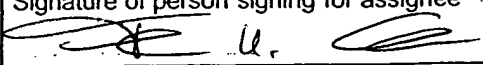
652077-4862E460

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REISSUE APPLICATION BY THE INVENTOR, OFFER TO SURRENDER PATENT		Docket Number (Optional) 140-032
<p>This is part of the application for a reissue patent based on the original patent identified below.</p>		
Name of Patentee(s) Melvin Park; Claus Koster		
Patent Number 5,696,375	Date Patent Issued December 9, 1997	
Title of Invention MULTIDEFLECTOR		
<p>I am the inventor of the original patent.</p> <p>I offer to surrender the original patent.</p> <p>1. <input checked="" type="checkbox"/> Filed herein is a certificate under 37 CFR 3.73(b).</p> <p>2. <input type="checkbox"/> Ownership of the patent is in the inventor(s), and no assignment of the patent has been made.</p> <p>One of boxes 1 or 2 above must be checked.</p> <p>The written consent of all assignees owning an undivided interest in the original patent is included in this application for reissue.</p>		
Signature 	Date	
Typed or printed name Claus Koster		
The assignee owning an undivided interest in said original patent is Brucker Daltonics, Inc. and the assignee consents to the accompanying application for reissue.		
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.</p>		
Name of assignee Brucker Daltonics, Inc. (Formerly Brucker Anyl. Instrument)		
Signature of person signing for assignee 	Date Jan. 11, 2000	
Typed or printed name and title of person signing for assignee Frank Laukien; President		

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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